

**Part 2B of Form ADV: *Brochure Supplement***

William Nicholson Price  
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Spire Wealth Management, LLC

Reston, VA 20191

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This brochure supplement provides information about William Nicholson Price that supplements the Spire Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Sue McKeown if you did not receive Spire Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about William Nicholson Price is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

## **Item 2 Educational, Background and Business Experience**

**Full Legal Name:** William Nicholson Price      **Born:** 1958

### **Education**

BS in Accounting High Point University 1984  
MS Personal Financial Planning College for Financial Planning 2009

### **Business Experience**

- William N Price CPA, CFP® Tax Preparation & Accounting Services 8/81 – Present
- Spire Investment Partners, LLC Wealth Manager 10/30/09 –Present
- Medallion Investment Services 11/01/2000 – 10/29/2009
- H.D. Vest Financial Services 6/01/1993 – 10/30/2000

### **Designations**

William Nicholson Price has earned the following designation(s) and is in good standing with the granting authority:

- CPA Maryland State Board of Public Accountancy 1/30/1984  
A Certified Public Accountant has passed the Uniform Certified Public Accountant Exam and has the education and experience qualifications required by the State conferring the designation. It is the statutory title of qualified accountants in the US
- CFP® Certified Financial Planner Board of Standards license – 7/25/88  
All rights and privileges of the designation are subject to annual review of the CFP Board To earn a CFP® a person must have a bachelor's degree, complete courses in Financial, Insurance, Investment, Tax, Asset Protection, Employee Benefits, and Estate Planning and pass a 10 hour CFP Board Certification Exam.

## **Item 3 Disciplinary Information**

William Nicholson Price has no reportable disciplinary history.

## **Item 4 Other Business Activities**

### **A. Investment-Related Activities**

1. William Nicholson Price is also engaged in the following investment-related activities:

## Registered representative of a broker-dealer

Advisor also carries the securities license required by FINRA (Financial Industry Regulatory Authority) in order to offer securities products and execute securities transactions in addition to their registration as an Investment Advisor representative providing investment advice. This additional licensing allows our advisors a much more robust suite of products to offer to their clients. Registration, supervision and continuing education are all requirements for maintaining this type of registration.

Conflicts of holding this type of license could be in cross-selling which is selling out of an advisory account and buying in a securities account and thereby generating a commission for the representative. Moving monies from an advisory account into a commission account in order to affect a commissionable trade. Accounts and trades are reviewed for these types of activities. A Source of Funds document is required on many of these types of direct investments to monitor for trade and money movement between advisory and securities accounts.

The types of commissions that may be earned on these types of accounts/products could be any one of the following:

Mutual Fund 12b-1 Service Fees

Mutual Funds Commissions

Direct Product Sponsor Commissions

## Insurance company or agency

Licensed as an insurance representative allows the advisor to offer various insurance products such as Variable Annuities, Life Insurance, Long Term Care insurance. Typically these products generate commission payments to the representatives selling the products. The ability to offer these products to clients allows the advisor a much more robust suite of products and thereby providing the client with a much more comprehensive financial plan.

2. William Nicholson Price receives commissions, bonuses or other compensation on the sale of securities or other investment products.

Holding these additional licenses and allowing the advisor the ability to offer securities products and insurance products in addition to their investment advice, may create a conflict of interest if the advisor is recommending these products in order to generate commissions rather than looking out for the best interests of the client. Each of these purchases is reviewed and approved by a principal of the firm. In addition, many of these products come with additional disclosures so that the client can fully understand the product.

## B. Non Investment-Related Activities

William Nicholson Price is engaged in another business or occupation that provides substantial compensation or involves a substantial amount of his time.

### Tax Preparation

## **Item 5 Additional Compensation**

William Nicholson Price does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## **Item 6 Supervision**

**Supervisor:** Sue McKeown

**Title:** CCO

**Phone Number:** 703-657-6062

In addition to an annual in person review of our firms policies and procedures, each advisor is subject to the following ongoing supervision and review:

Daily trade reviews

Monthly review of personal securities accounts

Monthly review of personal bank statements

Monthly correspondence reviews – including ongoing capture and review of email

Periodic reviews of client account activity